

# DP Analysis & Approaches HL

Maths with Lemon

## Lesson 9.3HL: Volumes of Revolution — Theory Notes

### 1. From Area to Volume

Before studying volumes of revolution, recall that integration can be used to calculate the area under a curve.

If

$$y = f(x)$$

and the region lies between  $x = a$  and  $x = b$ , then

$$A = \int_a^b f(x) dx$$

provided that  $f(x) \geq 0$  on the interval.

For a region between two curves,

$$y = f(x)$$

and

$$y = g(x),$$

where  $f(x) \geq g(x)$ ,

$$A = \int_a^b [f(x) - g(x)] dx.$$

Volumes of revolution extend this idea from a **two-dimensional area** to a **three-dimensional solid**.

### 2. What is a Solid of Revolution?

When a region is rotated through

$360^\circ$

or

$2\pi$  radians

around a line, it produces a three-dimensional solid.

This is called a

solid of revolution.

The volume of the solid is called a

volume of revolution.

For example, imagine rotating the region under

$$y = f(x)$$

around the  $x$ -axis.

Each vertical strip produces a thin circular disk.

### 3. Why Does the Formula Contain $\pi y^2$ ?

Consider a very thin vertical strip of width

$$dx.$$

If the height of the curve is  $y$ , rotating this strip around the  $x$ -axis creates a thin disk.  
The radius of the disk is

$$r = y.$$

The area of the circular cross-section is therefore

$$A = \pi r^2.$$

Since

$$r = y,$$

we obtain

$$A = \pi y^2.$$

The small volume is approximately

$$dV = \pi y^2 dx.$$

Adding infinitely many thin disks gives

$$V = \int_a^b \pi y^2 dx.$$

If

$$y = f(x),$$

then

$$V = \pi \int_a^b [f(x)]^2 dx.$$

#### Key Idea

When rotating around the  $x$ -axis:

$$V = \pi \int_a^b y^2 dx$$

or

$$V = \pi \int_a^b [f(x)]^2 dx.$$

## 4. Rotation About the $x$ -axis

Suppose

$$y = x^3, \quad 0 \leq x \leq 2.$$

The radius of each disk is

$$r = x^3.$$

Therefore,

$$V = \pi \int_0^2 (x^3)^2 dx.$$

So

$$V = \pi \int_0^2 x^6 dx.$$

Integrating,

$$V = \pi \left[ \frac{x^7}{7} \right]_0^2.$$

Thus

$$V = \pi \left( \frac{128}{7} \right).$$

Therefore,

$$V = \frac{128\pi}{7}.$$

Notice the important step:

$$(x^3)^2 = x^6.$$

## 5. A Common Mistake

For rotation around the  $x$ -axis, do **not** write

$$V = \pi \int_a^b f(x) dx.$$

The function must be squared.

The correct formula is

$$V = \pi \int_a^b [f(x)]^2 dx.$$

This happens because we are integrating the areas of circles:

$$A = \pi r^2.$$

## 6. Rotation About the $y$ -axis

If we use horizontal slices and rotate them around the  $y$ -axis, the radius is measured horizontally. Therefore,

$$r = x.$$

The cross-sectional area is

$$A = \pi x^2.$$

Hence,

$$V = \pi \int_c^d x^2 dy.$$

This means that  $x$  should normally be written as a function of  $y$ .

### Key Idea

Around the  $y$ -axis:

$$V = \pi \int_c^d x^2 dy.$$

The integral is with respect to  $y$ .

## 7. Rewriting $x$ in Terms of $y$

Suppose

$$y = x^2 - 1, \quad x \geq 0.$$

To rotate around the  $y$ -axis, rearrange:

$$y + 1 = x^2.$$

Therefore,

$$x = \sqrt{y + 1}.$$

But since the formula contains  $x^2$ , we can use directly

$$x^2 = y + 1.$$

If

$$1 \leq x \leq 5,$$

then the corresponding  $y$ -values are

$$y(1) = 0$$

and

$$y(5) = 24.$$

Therefore,

$$V = \pi \int_0^{24} (y + 1) dy.$$

Integrating,

$$V = \pi \left[ \frac{y^2}{2} + y \right]_0^{24}.$$

Hence,

$$V = \pi(288 + 24).$$

Therefore,

$$V = 312\pi.$$

## 8. Which Variable Should I Integrate With?

A useful rule is:

Rotation about  $x$ -axis  $\Rightarrow dx$

Rotation about  $y$ -axis  $\Rightarrow dy$

for the disk/washer method used in this lesson.

| Rotation         | Formula               |
|------------------|-----------------------|
| Around $x$ -axis | $V = \pi \int y^2 dx$ |
| Around $y$ -axis | $V = \pi \int x^2 dy$ |

## 9. Determining the Limits

Always check what the limits represent.  
For an integral

$$\int_a^b \dots dx,$$

the limits must be  $x$ -values.

For an integral

$$\int_c^d \dots dy,$$

the limits must be  $y$ -values.

For example, if

$$y = x^2 - 1$$

and

$$1 \leq x \leq 5,$$

then for integration with respect to  $y$  we convert the limits:

$$x = 1 \Rightarrow y = 0,$$

$$x = 5 \Rightarrow y = 24.$$

## 10. Solids Created from Multiple Curves

Sometimes a region is bounded by more than one curve.

When the region is rotated, the radius of the solid may change from one function to another.

For example, suppose a region is bounded by

$$y = \sin x,$$

$$y = \cos x,$$

and the  $x$ -axis.

First determine where the curves intersect:

$$\sin x = \cos x.$$

Therefore,

$$\tan x = 1.$$

Hence,

$$x = \frac{\pi}{4}$$

in the first quadrant.

If different curves form the upper boundary on different intervals, the volume must be split into separate integrals.

For example,

$$V = \pi \int_a^{\pi/4} (\sin x)^2 dx + \pi \int_{\pi/4}^b (\cos x)^2 dx.$$

### Key Idea

If the boundary changes, split the integral at the point where the curves intersect.

## 11. Regions Between Two Curves: Washers

If a region between two curves is rotated, a hole may appear inside the solid.

The cross-section is then a **washer** rather than a disk.

If

$$R = \text{outer radius}$$

and

$$r = \text{inner radius},$$

then the area of the washer is

$$A = \pi R^2 - \pi r^2.$$

Therefore,

$$A = \pi(R^2 - r^2).$$

The volume is

$$V = \pi \int_a^b (R^2 - r^2) dx.$$

## 12. Determining the Bounded Region

Before setting up a volume integral, determine where the curves intersect.

Consider

$$y = x^2$$

and

$$y = \sqrt{x}.$$

At the intersections,

$$x^2 = \sqrt{x}.$$

Squaring gives

$$x^4 = x.$$

Thus,

$$x(x^3 - 1) = 0.$$

Therefore,

$$x = 0$$

or

$$x = 1.$$

Hence the finite region is bounded by

$$0 \leq x \leq 1.$$

On this interval,

$$\sqrt{x} \geq x^2.$$

If the region is rotated around the  $x$ -axis,

$$R = \sqrt{x}$$

and

$$r = x^2.$$

Therefore,

$$V = \pi \int_0^1 [(\sqrt{x})^2 - (x^2)^2] dx.$$

Thus,

$$V = \pi \int_0^1 (x - x^4) dx.$$

Integrating,

$$V = \pi \left[ \frac{x^2}{2} - \frac{x^5}{5} \right]_0^1.$$

Hence,

$$V = \pi \left( \frac{1}{2} - \frac{1}{5} \right)$$

and therefore

$$V = \frac{3\pi}{10}.$$

### 13. Rotation About a Vertical Line

The axis of rotation does not have to be an axis.

For example, a region might be rotated around

$$x = -2.$$

The radius is now the **horizontal distance** from the curve to the line  $x = -2$ .

If a point has coordinate  $x$ , then

$$r = x - (-2).$$

Therefore,

$$r = x + 2.$$

If using washers with respect to  $y$ , identify the outer and inner horizontal distances from the axis of rotation.

#### Key Idea

Radius means **distance from the axis of rotation**.

It is not automatically  $x$  or  $y$ .

### 14. Rotation About a Horizontal Line

Suppose a region is rotated around

$$y = -1.$$

If the curve is

$$y = f(x),$$

then the distance from the curve to the axis is

$$f(x) - (-1).$$

Therefore,

$$R = f(x) + 1.$$

If the region extends from the axis itself to the curve, then

$$V = \pi \int_a^b [f(x) + 1]^2 dx.$$

If there is an inner boundary, use the washer formula

$$V = \pi \int_a^b (R^2 - r^2) dx.$$

## 15. Example: Rotation Around $y = -1$

Suppose

$$y = \cos x$$

is rotated around

$$y = -1.$$

The radius from the curve to the axis is

$$R = \cos x + 1.$$

Therefore, for limits  $a$  and  $b$ ,

$$V = \pi \int_a^b (\cos x + 1)^2 dx.$$

Expand:

$$(\cos x + 1)^2 = \cos^2 x + 2 \cos x + 1.$$

Using

$$\cos^2 x = \frac{1 + \cos 2x}{2},$$

the integral can then be evaluated exactly.

## 16. Exact Answers

Volume-of-revolution questions frequently ask for an answer **in terms of  $\pi$** .

For example,

$$V = \frac{128\pi}{7}$$

should normally be left in this exact form rather than converted to a decimal.

Similarly, expressions involving

$$e, \quad \ln x, \quad \pi$$

should remain exact unless a decimal approximation is requested.

## 17. Common Mistakes

- Forgetting to square the radius.

Incorrect:

$$V = \pi \int f(x) dx.$$

Correct:

$$V = \pi \int [f(x)]^2 dx.$$

- Forgetting the factor of  $\pi$ .
- Using  $dx$  when the formula has been set up in terms of  $y$ .
- Using  $x$ -limits in an integral with respect to  $y$ .
- Forgetting to find the intersection points of two curves.
- Using

instead of

$$R - r$$

$$R^2 - r^2.$$

- Forgetting that the radius is the **distance** from the axis of rotation.
- When rotating around  $y = -1$ , using  $f(x)$  instead of

$$f(x) + 1.$$

- When rotating around  $x = -2$ , using  $x$  instead of the distance

$$x + 2.$$

## 18. Formula Summary

| Situation                | Formula                                                                              |
|--------------------------|--------------------------------------------------------------------------------------|
| Area under a curve       | $A = \int_a^b f(x) dx$                                                               |
| About the $x$ -axis      | $V = \pi \int_a^b y^2 dx$                                                            |
| About the $y$ -axis      | $V = \pi \int_c^d x^2 dy$                                                            |
| Washer method            | $V = \pi \int (R^2 - r^2) dx$<br>or the corresponding integral with respect to $y$ . |
| Vertical non-axis line   | Radius = horizontal distance from the axis.                                          |
| Horizontal non-axis line | Radius = vertical distance from the axis.                                            |

## 19. Final Checklist

Before evaluating a volume of revolution:

1. What is the axis of rotation?
2. Should I integrate with respect to  $x$  or  $y$ ?
3. What is the radius?
4. Is there an inner radius?
5. Do I need a disk or a washer?
6. Are my limits in the correct variable?
7. Does the boundary change during the interval?
8. If so, do I need to split the integral?
9. Have I squared both radii?
10. Have I included  $\pi$ ?
11. Does the final answer have cubic units?



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Mathematics made clear.